



Atty Doc. No. HME/8134.003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION EXAMINING OPERATIONS

In re the Application of :
James W. Whittaker and Mei M. Whittaker : Group Art Unit: 1652
Serial No. 09/866,060 : Examiner: Yong Pak
Filed: May 25, 2001 : Date: January 27, 2003
For a Patent for :
EXPRESSION AND PURIFICATION OF :
GALACTOSE OXIDASE :

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INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 CFR §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants submit herewith copies of patents and publications of which they are aware and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(c)(2), this Information Disclosure Statement is being submitted before the mailing date of a final action and accompanied by the fee set forth in 37 C.F.R. §1.17(p).

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

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A list of the patents and publications enclosed herewith is set forth on the attached
3 pages of Information Disclosure Statement by Applicant.

The person making this statement is the attorney who signs below on the basis of
the information supplied by the inventor and the information in his file.

Respectfully submitted,




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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal
Service as first class mail in an envelope addressed to: Box Patent Application, Assistant
Commissioner for Patents, Washington, D.C. 20231, on January 27, 2003.

Dated: 1/27/03


Howard M. Eisenberg

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/866,060
				Filing Date	May 25, 2001
				First Named Inventor	James W. Whittaker
				Group Art Unit	1652
				Examiner Name	Yong Pak
Sheet	1	of	3	Attorney's Docket No.	HME/8134.003

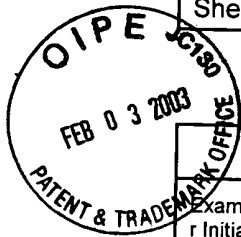
U.S. Patent Documents

Examine r Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, columns, lines where passages or relevant figures appear
		Number Code	Kind			
	AA					
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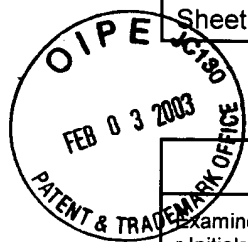
Sheet	2	of	3		Inventor:	Whittaker
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FOREIGN PATENT DOCUMENTS								
Examine r Initials	Cite No. ¹	FOREIGN PATENT DOCUMENTS			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, columns, lines where passages or relevant figures appear	T ²
		Office code	Number	Kind				
	B A							
	B B							
	B C							
	B D							
	B E							
	B F							
	B G							
	B H							
	B I							
	B J							

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.



Sheet	3	of	3	Inventor:	Whittaker
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OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS		
Examine r Initials	Cite, No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published
	C A	
	C B	
	C C	
	C D	
	C E	
	C F	
	C G	LIN, J., et al, "Heterologous Protein Expression in the Methylophilic Yeast <i>Pichia pastoris</i> ", FEMS Microbiology Reviews, 24:45-66 (2000)
	C H	FIROBE, HP, et al, "Overexpression and Characterization of <i>Aspergillus awamori</i> Wild-Type and Mutant Glucoamylase Secreted by the Methylophilic Yeast <i>Pichia pastoris</i> : Comparison with Wild-type Recombinant Glucoamylase Produced Using <i>Saccharomyces cerevisiae</i> and <i>Aspergillus niger</i> as hosts", Protein Expression and Purification, 9:159-170 (1997)
	C I	CASSLAND, P, and JONSSON, LJ, "Characterization of a Gene Encoding <i>Trametes versicolor</i> laccase A and Improved Heterologous Expression in <i>Saccharomyces cerevisiae</i> by Decreased Cultivation Temperature", Appl. Microbiol. Biotechnol., 52:393-400 (1999)
	C J	

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.